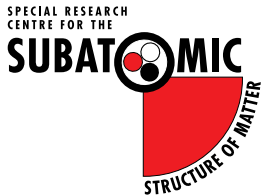


# The $\Lambda(1405)$ is an anti-kaon–nucleon molecule

Jonathan Hall, Waseem Kamleh, Derek Leinweber, Ben Menadue,  
Ben Owen, Tony Thomas, Ross Young



THE UNIVERSITY  
*of* ADELAIDE

# The $\Lambda(1405)$

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- Even though it contains a heavy strange quark and has odd parity its mass is lower than any other excited spin-1/2 baryon.
- It has a mass of  $1405.1^{+1.3}_{-1.0}$  MeV.
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- Before the existence of quarks was confirmed, Dalitz and co-workers speculated that it might be a molecular state of an anti-kaon bound to a nucleon.
- For almost 50 years the structure of the  $\Lambda(1405)$  resonance has been a subject of debate.

# The $\Lambda(1405)$

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- Here we'll see how a new lattice QCD simulation showing
  - The  $\Lambda(1405)$  strange magnetic form factor vanishes, together with
  - A Hamiltonian effective field theory analysis of the lattice QCD energy levels,

unambiguously establishes that the structure is dominated by a bound anti-kaon–nucleon component.

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  - A  $u, \bar{u}$  pair making a  $K^-(s, \bar{u})$  - proton  $(u, u, d)$  bound state, or
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- In both cases the strange quark is confined within a spin-0 kaon and has no preferred spin orientation.
- To conserve parity, the kaon has zero orbital angular momentum.
- Thus, the strange quark does not contribute to the magnetic form factor of the  $\Lambda(1405)$  when it is in a  $\bar{K}N$  molecule.

# Outline

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Techniques for exciting the  $\Lambda(1405)$  in Lattice QCD

Quark-sector contributions to the electric form factor of the  $\Lambda(1405)$

Quark-sector contributions to the magnetic form factor of the  $\Lambda(1405)$

Hamiltonian effective field theory model:  $m_0$ ,  $\pi\Sigma$ ,  $\bar{K}N$ ,  $K\Xi$  and  $\eta\Lambda$ .

Conclusion

# The $\Lambda(1405)$ and Lattice QCD

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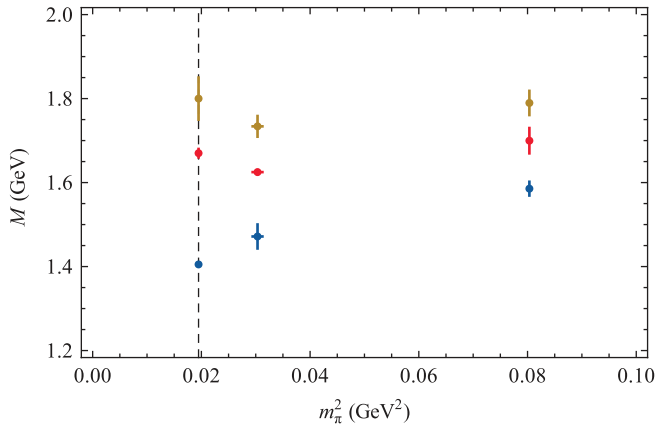
Our recent work has successfully isolated three low-lying odd-parity spin-1/2 states.

B. Menadue, W. Kamleh, D. B. Leinweber, M. S. Mahbub, Phys. Rev. Lett. **108**, 112001 (2012)

- An extrapolation of the trend of the lowest state reproduces the mass of the  $\Lambda(1405)$ .
- Subsequent studies have confirmed these results.

G. P. Engel, C. B. Lang, A. Schäfer, Phys. Rev. D **87**, 034502 (2013)

# $\Lambda(1405)$ and Baryon Octet dominated states



## Simulation Details

---

We are using the PACS-CS (2 + 1)-flavour ensembles, available through the ILDG.

S. Aoki *et al* (PACS-CS Collaboration), Phys. Rev. D **79**, 034503 (2009)

- Lattice size of  $32^3 \times 64$  with  $\beta = 1.90$ .  $L \simeq 3$  fm.

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  - We use  $\kappa_S = 0.13665$  for the valence strange quarks to reproduce the physical kaon mass.
- The strange quark  $\kappa_S$  is held fixed as the light quark masses vary.
  - Changes in the strange quark contributions are environmental effects.

# The $\Lambda(1405)$ and Lattice QCD

---

The variational analysis is necessary to isolate the  $\Lambda(1405)$ .

# Variational Analysis

By using multiple operators, we can isolate and analyse individual energy eigenstates:

- Construct the correlation matrix

$$G_{ij}(\mathbf{p}; t) = \sum_{\mathbf{x}} e^{-i\mathbf{p}\cdot\mathbf{x}} \text{tr} \left( \Gamma \langle \Omega | \chi_i(\mathbf{x}) \bar{\chi}_j(0) | \Omega \rangle \right),$$

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for some set  $\{\chi_i\}$  operators that couple to the states of interest.

- We seek the linear combinations of the operators  $\{\chi_i\}$  that perfectly isolate individual energy eigenstates,  $\alpha$ , at momentum  $\mathbf{p}$ :

$$\phi^\alpha = v_i^\alpha(\mathbf{p}) \chi_i, \quad \bar{\phi}^\alpha = u_i^\alpha(\mathbf{p}) \bar{\chi}_i.$$

# Variational Analysis

---

- When successful, only state  $\alpha$  participates in the correlation function, and one can write recurrence relations

$$G(\mathbf{p}; t + \delta t) \mathbf{u}^\alpha(\mathbf{p}) = e^{-E_\alpha(\mathbf{p}) \delta t} G(\mathbf{p}; t) \mathbf{u}^\alpha(\mathbf{p})$$

$$\mathbf{v}^{\alpha T}(\mathbf{p}) G(\mathbf{p}; t + \delta t) = e^{-E_\alpha(\mathbf{p}) \delta t} \mathbf{v}^{\alpha T}(\mathbf{p}) G(\mathbf{p}; t)$$

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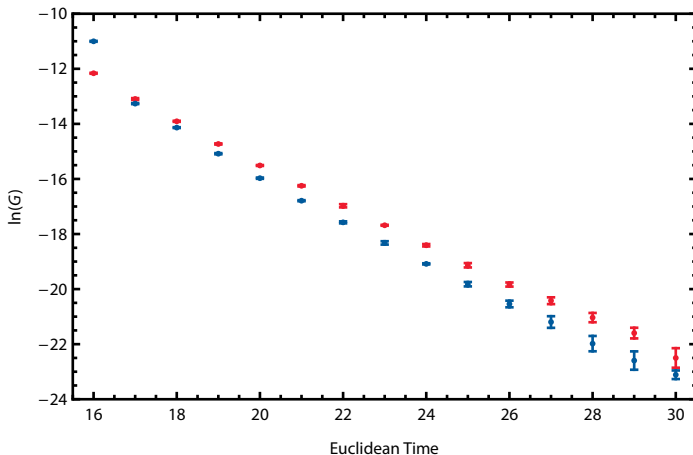
- Solve for the left,  $\mathbf{v}^\alpha(\mathbf{p})$ , and right,  $\mathbf{u}^\alpha(\mathbf{p})$ , generalised eigenvectors of  $G(\mathbf{p}; t + \delta t)$  and  $G(\mathbf{p}; t)$ :

# Eigenstate-Projected Correlation Functions

- Using these optimal operators, eigenstate-projected correlation functions are obtained

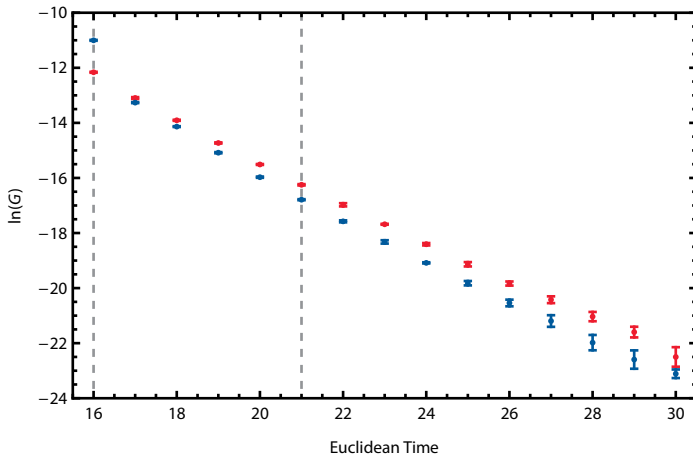
$$\begin{aligned}
 G^\alpha(\mathbf{p}; t) &= \sum_{\mathbf{x}} e^{-i\mathbf{p}\cdot\mathbf{x}} \langle \Omega | \phi^\alpha(\mathbf{x}) \bar{\phi}^\alpha(0) | \Omega \rangle \\
 &= \sum_{\mathbf{x}} e^{-i\mathbf{p}\cdot\mathbf{x}} \langle \Omega | v_i^\alpha(\mathbf{p}) \chi_i(\mathbf{x}) \bar{\chi}_j(0) u_j^\alpha(\mathbf{p}) | \Omega \rangle \\
 &= \mathbf{v}^{\alpha T}(\mathbf{p}) G(\mathbf{p}; t) \mathbf{u}^\alpha(\mathbf{p})
 \end{aligned}$$

# The importance of eigenstate isolation (red)

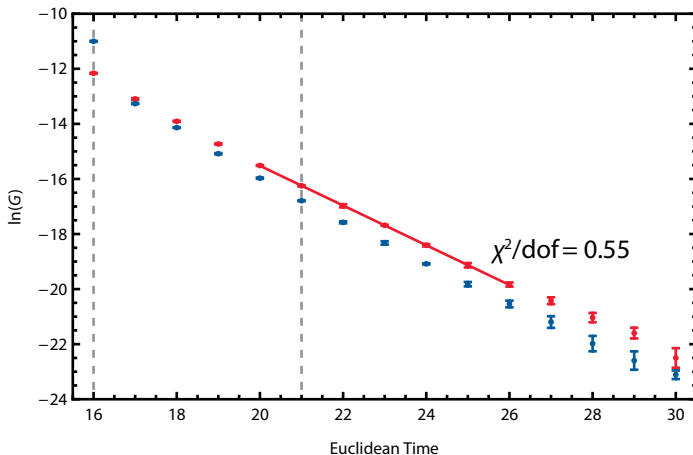




# Probing with the electromagnetic current



Only the projected correlator has acceptable  $\chi^2/\text{dof}$



## Operators Used in $\Lambda(1405)$ Analysis

We consider local three-quark operators with the correct quantum numbers for the  $\Lambda$  channel, including

- Flavour-octet operators

$$\chi_1^8 = \frac{1}{\sqrt{6}} \varepsilon^{abc} \left( 2(u^a C \gamma_5 d^b) s^c + (u^a C \gamma_5 s^b) d^c - (d^a C \gamma_5 s^b) u^c \right)$$

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- Flavour-singlet operator

$$\chi^1 = 2\varepsilon^{abc} \left( (u^a C \gamma_5 d^b) s^c - (u^a C \gamma_5 s^b) d^c + (d^a C \gamma_5 s^b) u^c \right)$$

## Operators Used in $\Lambda(1405)$ Analysis

---

We also use gauge-invariant Gaussian smearing to increase our operator basis.

- These results use 16 and 100 sweeps.
  - Gives a  $6 \times 6$  matrix.

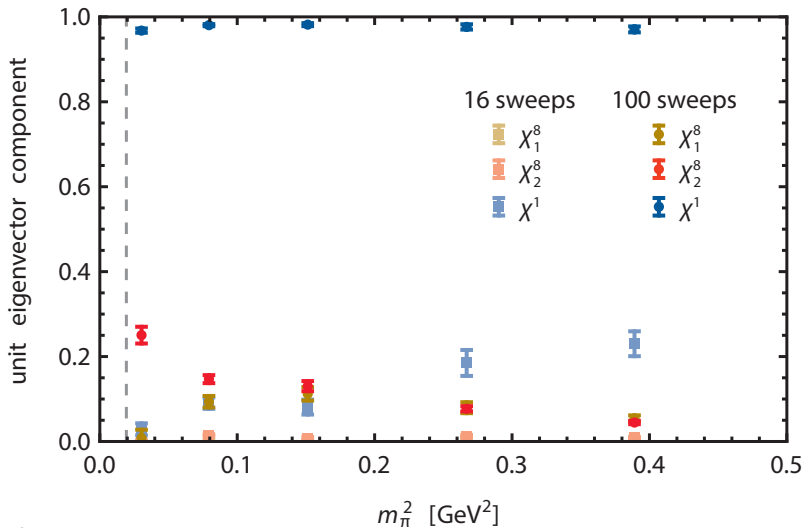
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- These results use 16 and 100 sweeps.
  - Gives a  $6 \times 6$  matrix.
- Also considered 35 and 100 sweeps.
  - Results are consistent with larger statistical uncertainties.

# Flavour structure of the $\Lambda(1405)$



# Extracting Form Factors from Lattice QCD

- To extract the form factors for a state  $\alpha$ , we need to calculate the three-point correlation function

$$G_{\alpha}^{\mu}(\mathbf{p}', \mathbf{p}; t_2, t_1) = \sum_{\mathbf{x}_1, \mathbf{x}_2} e^{-i\mathbf{p}' \cdot \mathbf{x}_2} e^{i(\mathbf{p}' - \mathbf{p}) \cdot \mathbf{x}_1} \langle \Omega | \phi^{\alpha}(\mathbf{x}_2) j^{\mu}(\mathbf{x}_1) \bar{\phi}^{\alpha}(0) | \Omega \rangle$$

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- This takes the form

$$e^{-E_{\alpha}(\mathbf{p}')(t_2 - t_1)} e^{-E_{\alpha}(\mathbf{p})t_1} \sum_{s, s'} \langle \Omega | \phi^{\alpha} | \mathbf{p}', s' \rangle \langle \mathbf{p}', s' | j^{\mu} | \mathbf{p}, s \rangle \langle \mathbf{p}, s | \bar{\phi}^{\alpha} | \Omega \rangle$$



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- $\langle \mathbf{p}', s' | j^{\mu} | \mathbf{p}, s \rangle$  encodes the form factors of the interaction.

# Current Matrix Elements for Spin-1/2 Baryons

---

The current matrix element for spin-1/2 baryons has the form

$$\begin{aligned}
 \langle p', s' | j^\mu | p, s \rangle = & \left( \frac{m_\alpha^2}{E_\alpha(\mathbf{p}) E_\alpha(\mathbf{p}')} \right)^{1/2} \times \\
 & \times \bar{u}(\mathbf{p}') \left( F_1(q^2) \gamma^\mu + i F_2(q^2) \sigma^{\mu\nu} \frac{q^\nu}{2m_\alpha} \right) u(\mathbf{p})
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- The Dirac and Pauli form factors are related to the Sachs form factors through

$$\mathcal{G}_E(q^2) = F_1(q^2) - \frac{q^2}{(2m_\alpha)^2} F_2(q^2)$$

$$\mathcal{G}_M(q^2) = F_1(q^2) + F_2(q^2)$$

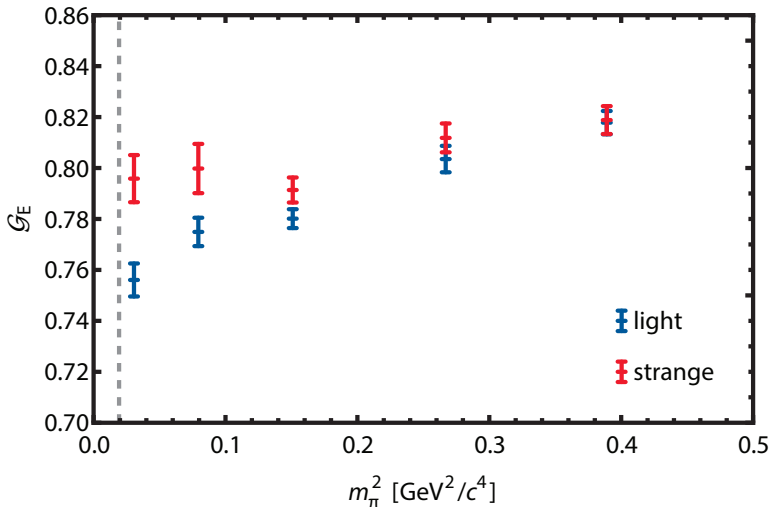
# Current Matrix Elements for Spin-1/2 Baryons

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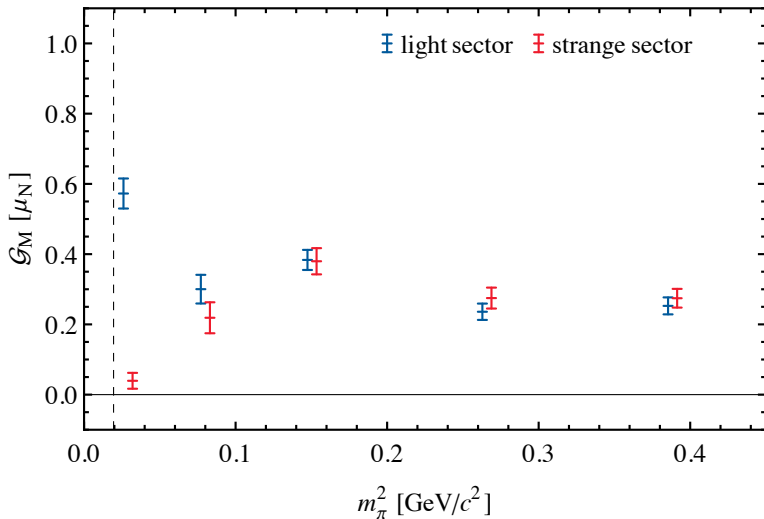
The light- and strange-quark sector contributions can be isolated.

- Eg. The strange sector is isolated by setting  $q_u = q_d = 0$ .
- $q_s$  is set to unity such that we report results for single quarks of unit charge.
- Symmetry in the  $u$ - $d$  sector provides  $\mathcal{G}^u(Q^2) = \mathcal{G}^d(Q^2) \equiv \mathcal{G}^\ell(Q^2)$  for  $q_u = q_d = 1$ .

# $\mathcal{G}_E$ for the $\Lambda(1405)$ at $Q^2 \sim 0.16 \text{ GeV}^2$



# $\mathcal{G}_M$ for the $\Lambda(1405)$ at $Q^2 \sim 0.16 \text{ GeV}^2$

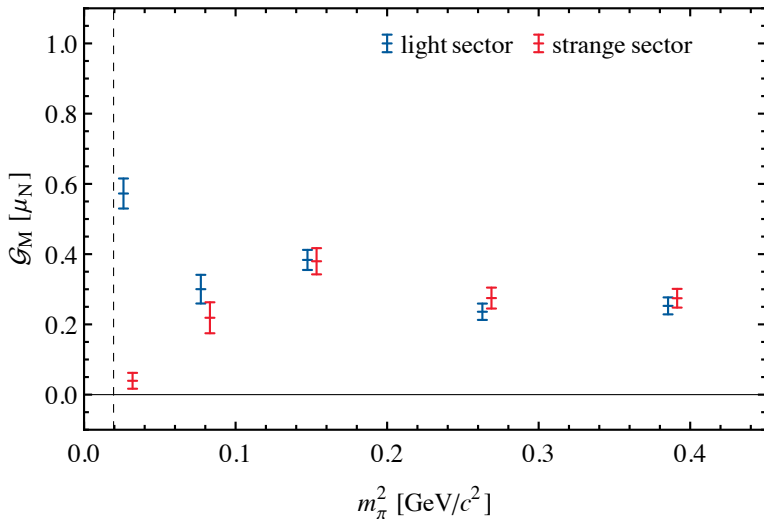


# $\Lambda(1405)$ magnetic form factor observations

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- $SU(3)$ -flavour symmetry is manifest for  $m_\ell \sim m_s$ . All three quark flavours play a similar role.
- $\mathcal{G}_M^\ell \equiv \mathcal{G}_M^u \equiv \mathcal{G}_M^d \simeq \mathcal{G}_M^s$  for the heaviest three masses.

# $\Lambda(1405)$ magnetic form factor observations



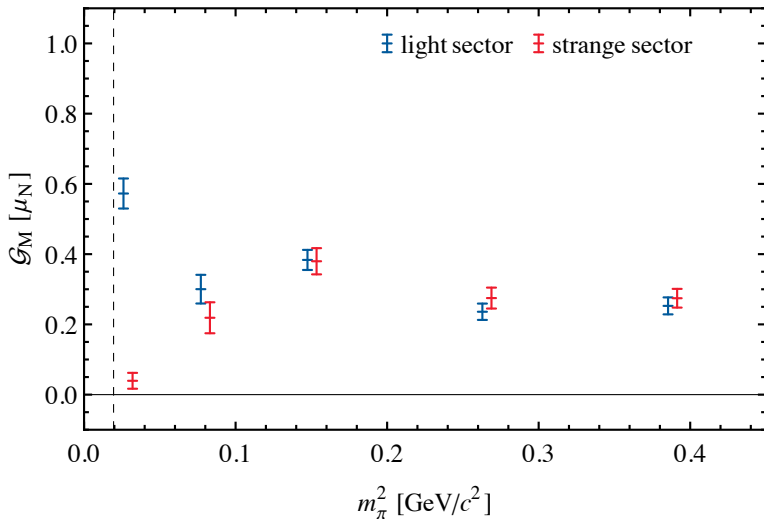


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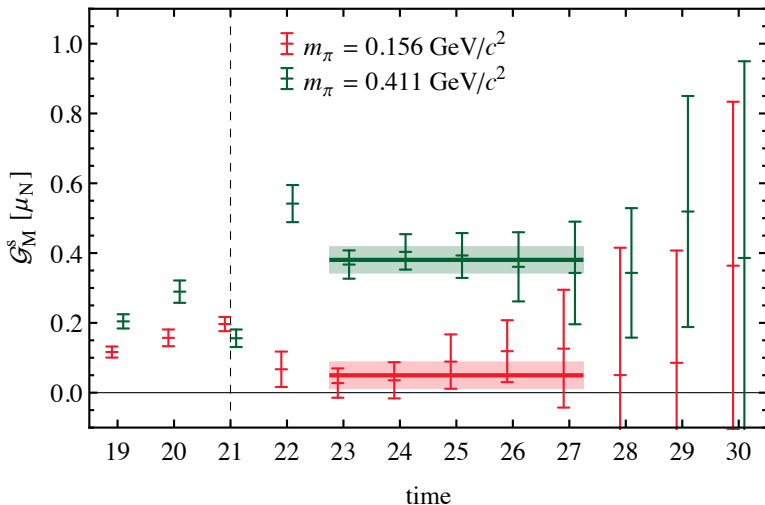
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- The internal structure of the  $\Lambda(1405)$  reorganises at the lightest quark mass.
- The strange quark contribution to the magnetic form factor of the  $\Lambda(1405)$  drops by an order of magnitude and approaches zero.

# $\Lambda(1405)$ magnetic form factor observations



# Correlation function ratio providing $\mathcal{G}_M^s(Q^2)$



## $\Lambda(1405)$ magnetic form factor observations

---

- As the simulation parameters describing the strange quark are held fixed, this is a remarkable environmental effect of unprecedented strength.
- We observe an important rearrangement of the quark structure within the  $\Lambda(1405)$  consistent with the dominance of a molecular  $\bar{K}N$  bound state.

# Hamiltonian Effective Field Theory Model

---

- The four octet meson-baryon interaction channels of the  $\Lambda(1405)$  are included:  $\pi\Sigma$ ,  $\bar{K}N$ ,  $K\Xi$  and  $\eta\Lambda$ .

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- In a finite periodic volume, momentum is quantised to  $n(2\pi/L)$ .
- Working on a cubic volume of extent  $L$  on each side, it is convenient to define the momentum magnitudes

$$k_n = \sqrt{n_x^2 + n_y^2 + n_z^2} \frac{2\pi}{L},$$

with  $n_i = 0, 1, 2, \dots$  and integer  $n = n_x^2 + n_y^2 + n_z^2$ .



# Hamiltonian model, $H_0$

Denoting each meson-baryon energy by  $\omega_{MB}(k_n) = \omega_M(k_n) + \omega_B(k_n)$ , with  $\omega_A(k_n) \equiv \sqrt{k_n^2 + m_A^2}$ , the non-interacting Hamiltonian takes the form

$$H_0 = \begin{pmatrix}
 m_0 + \alpha_0 m_\pi^2 & & 0 & & 0 & & \dots \\
 & \omega_{\pi\Sigma}(k_0) & & & & & \\
 0 & & \ddots & & 0 & & \dots \\
 & & & \omega_{\eta\Lambda}(k_0) & & & \\
 & & & & \omega_{\pi\Sigma}(k_1) & & \\
 0 & & 0 & & & \ddots & \dots \\
 & & & & & & \omega_{\eta\Lambda}(k_1) \\
 \vdots & & \vdots & & \vdots & & \ddots
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# Hamiltonian model, $H_I$

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- Interaction entries describe the coupling of the single-particle state to the two-particle meson-baryon states.

# Hamiltonian model, $H_I$

- Interaction entries describe the coupling of the single-particle state to the two-particle meson-baryon states.
- Each entry represents the  $S$ -wave interaction energy of the  $\Lambda(1405)$  with one of the four channels at a certain value for  $k_n$ .

$$H_I = \begin{pmatrix} 0 & g_{\pi\Sigma}(k_0) & \cdots & g_{\eta\Lambda}(k_0) & g_{\pi\Sigma}(k_1) & \cdots & g_{\eta\Lambda}(k_1) \cdots \\ g_{\pi\Sigma}(k_0) & 0 & \cdots & & & & \\ \vdots & \vdots & 0 & & & & \\ & & & \ddots & & & \\ g_{\eta\Lambda}(k_0) & & & & & & \\ g_{\pi\Sigma}(k_1) & & & & & & \\ \vdots & & & & & & \\ g_{\eta\Lambda}(k_1) & & & & & & \\ \vdots & & & & & & \end{pmatrix} .$$

# Eigenvalue Equation Form

- The eigenvalue equation corresponding to our Hamiltonian model is

$$\lambda = m_0 + \alpha_0 m_\pi^2 - \sum_{M,B} \sum_{n=0}^{\infty} \frac{g_{MB}^2(k_n)}{\omega_{MB}(k_n) - \lambda}.$$

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- The bare mass  $m_0 + \alpha_0 m_\pi^2$  encounters self-energy corrections that lead to avoided level-crossings in the finite-volume energy eigenstates.
- Reference to chiral effective field theory provides the form of  $g_{MB}(k_n)$ .

# Hamiltonian model solution and fit

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- The LAPACK software library routine `dgeev` is used to obtain the eigenvalues and eigenvectors of  $H$ .

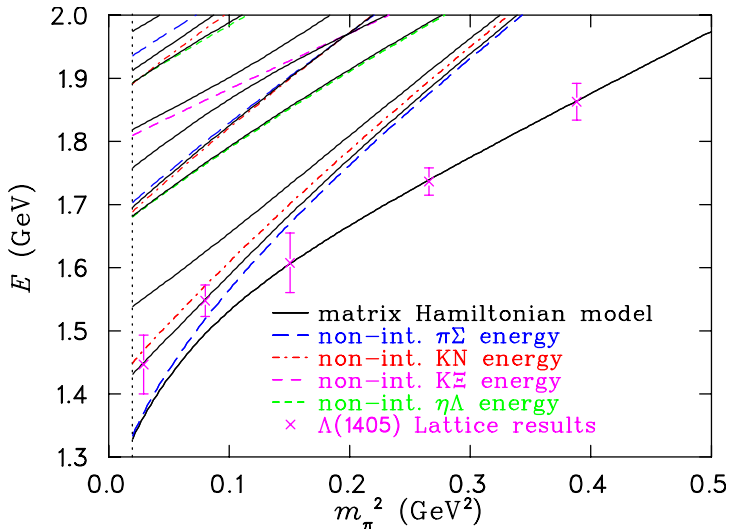
# Hamiltonian model solution and fit

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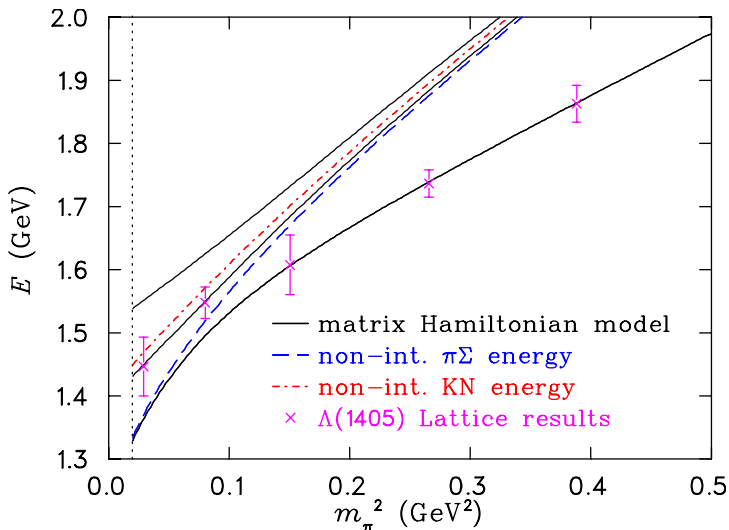
- The LAPACK software library routine `dgeev` is used to obtain the eigenvalues and eigenvectors of  $H$ .
- The bare mass parameters  $m_0$  and  $\alpha_0$  are determined by a fit to the lattice QCD results.



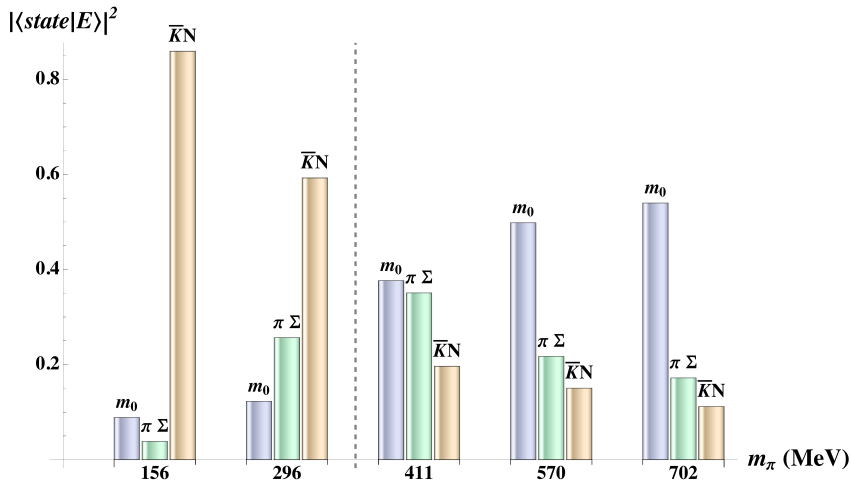
# Hamiltonian model fit



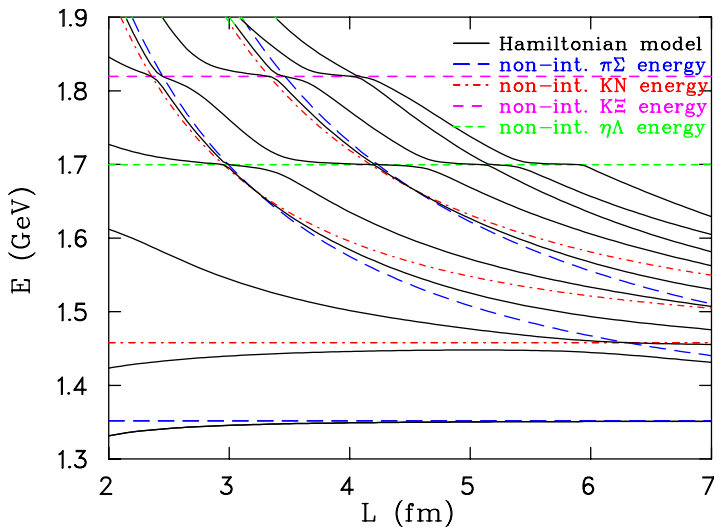
# Avoided Level Crossing



# Energy eigenstate, $|E\rangle$ , basis $|state\rangle$ composition

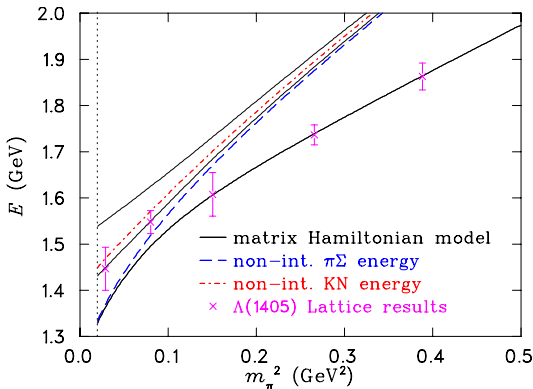


# Volume dependence of the odd-parity $\Lambda$ spectrum



# Infinite-volume reconstruction of the $\Lambda(1405)$ energy

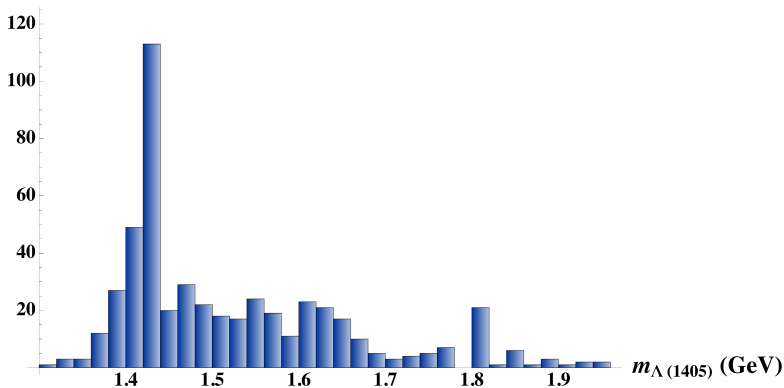
- Bootstraps are calculated by altering the value of each lattice data point by a Gaussian-distributed random number, weighted by the uncertainty.



# Infinite-volume $\Lambda(1405)$ mass distribution at $m_{\pi}^{\text{phys}}$



## *Bootstrap outcomes*



## Conclusions

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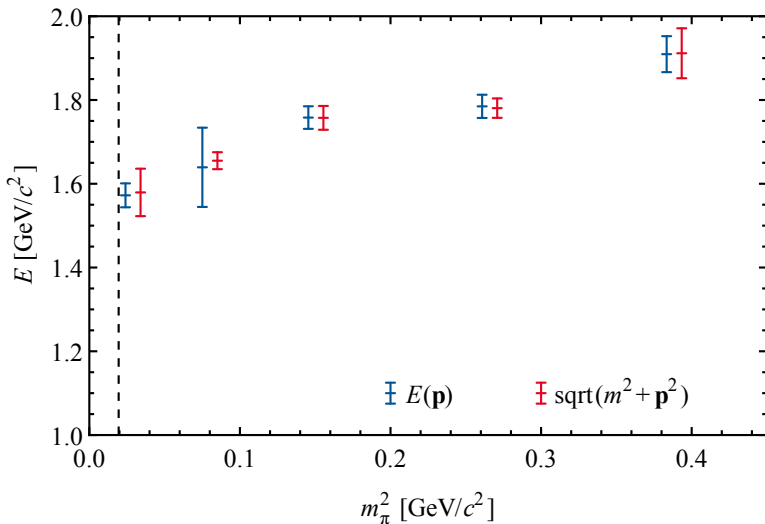
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- The result ends 50 years of speculation on the structure of the  $\Lambda(1405)$  resonance.

## Supplementary Information

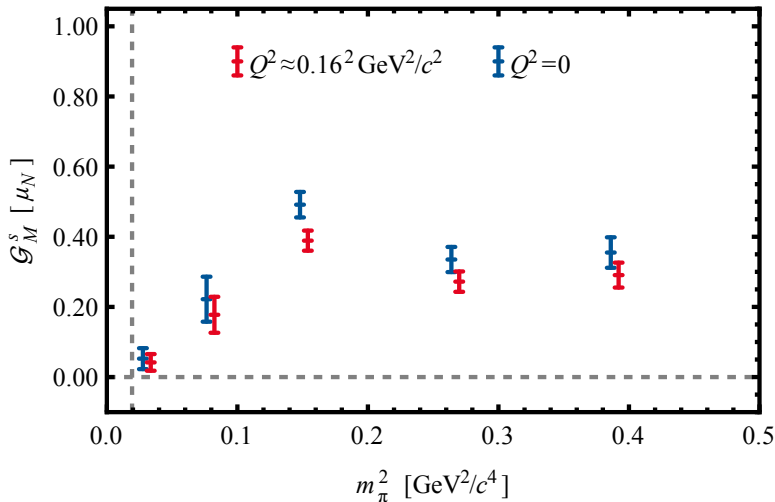
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The following slides provide additional information which may be of interest.

# Dispersion Relation Test for the $\Lambda(1405)$



$\mathcal{G}_M^s(q^2)$  scaled to  $\mathcal{G}_M^s(0)$  via  $\mathcal{G}_M^s(q^2)/\mathcal{G}_E^s(q^2)$



## $\mathcal{G}_E$ for the $\Lambda(1405)$

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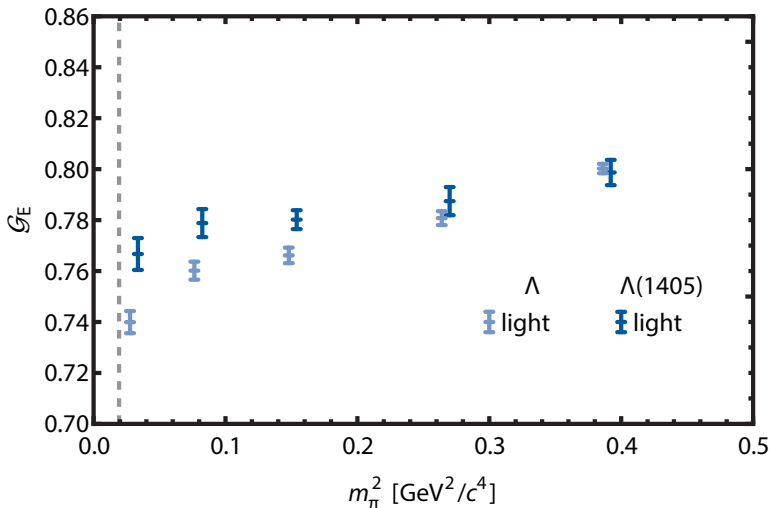
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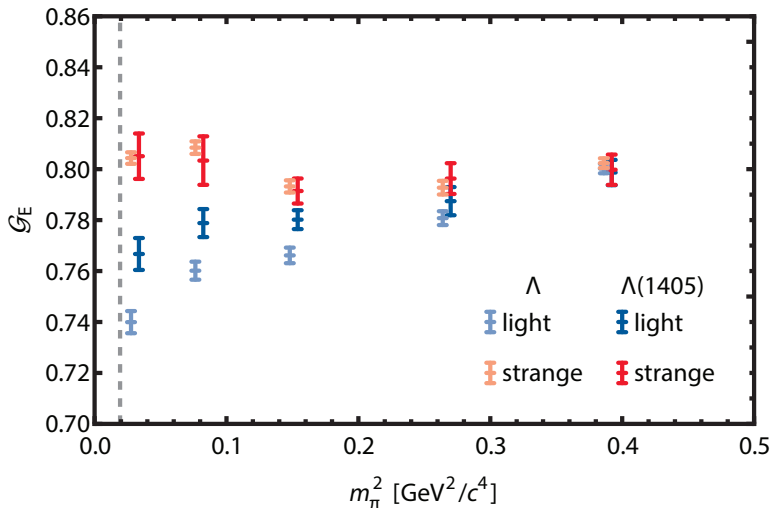


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  - The strange quark may be distributed further out by the  $\bar{K}$  and thus have a smaller form factor.

# $\mathcal{G}_E$ for the $\Lambda(1405)$



# Hamiltonian model, $H_I$

- The form of the interaction is derived from chiral effective field theory.

$$g_{MB}(k_n) = \left( \frac{\kappa_{MB}}{16\pi^2 f_\pi^2} \frac{C_3(n)}{4\pi} \left( \frac{2\pi}{L} \right)^3 \omega_M(k_n) u^2(k_n) \right)^{1/2} .$$

- $\kappa_{MB}$  denotes the  $SU(3)$ -flavour singlet couplings

$$\kappa_{\pi\Sigma} = 3\xi_0, \quad \kappa_{\bar{K}N} = 2\xi_0, \quad \kappa_{K\Xi} = 2\xi_0, \quad \kappa_{\eta\Lambda} = \xi_0,$$

with  $\xi_0 = 0.75$  reproducing the physical  $\Lambda(1405) \rightarrow \pi\Sigma$  width.

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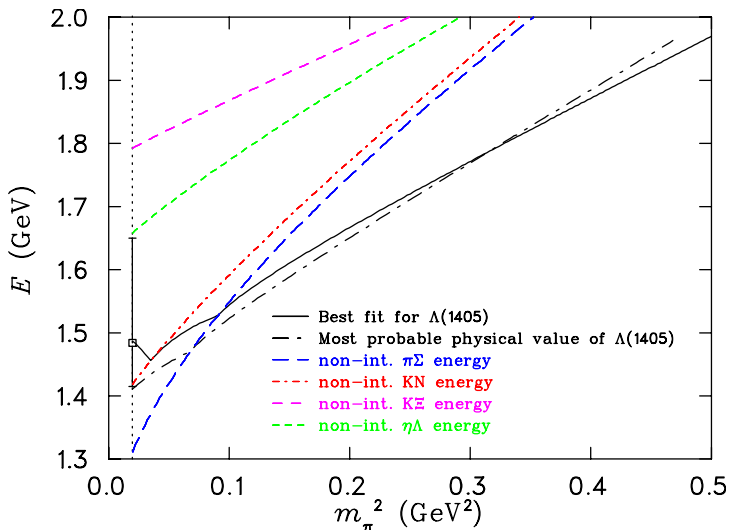
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- $u(k_n)$  is a dipole regulator, with regularization scale  $\Lambda = 0.8$  GeV.

# Infinite-volume reconstruction of the $\Lambda(1405)$ energy



# Excited State Form Factors

- The eigenstate-projected three-point correlation function is

$$\begin{aligned}
 G_{\alpha}^{\mu}(\mathbf{p}', \mathbf{p}; t_2, t_1) &= \sum_{\mathbf{x}_1, \mathbf{x}_2} e^{-i\mathbf{p}' \cdot \mathbf{x}_2} e^{i(\mathbf{p}' - \mathbf{p}) \cdot \mathbf{x}_1} \times \\
 &\quad \times \langle \Omega | v_i^{\alpha}(\mathbf{p}') \chi_i(\mathbf{x}_2) j^{\mu}(\mathbf{x}_1) \bar{\chi}_j(0) u_i^{\alpha}(\mathbf{p}) | \Omega \rangle \\
 &= \mathbf{v}^{\alpha T}(\mathbf{p}') G_{ij}^{\mu}(\mathbf{p}', \mathbf{p}; t_2, t_1) \mathbf{u}^{\alpha}(\mathbf{p})
 \end{aligned}$$

where

$$G_{ij}^{\mu}(\mathbf{p}', \mathbf{p}; t_2, t_1) = \sum_{\mathbf{x}_1, \mathbf{x}_2} e^{-i\mathbf{p}' \cdot \mathbf{x}_2} e^{i(\mathbf{p}' - \mathbf{p}) \cdot \mathbf{x}_1} \langle \Omega | \chi_i(\mathbf{x}_2) j^{\mu}(\mathbf{x}_1) \bar{\chi}_j(0) | \Omega \rangle$$

is the matrix constructed from the three-point correlation functions of the original operators  $\{ \chi_i \}$ .



# Extracting Form Factors from Lattice QCD

- To eliminate the time dependence of the three-point correlation function, we construct the ratio

$$R_{\alpha}^{\mu}(\mathbf{p}', \mathbf{p}; t_2, t_1) = \left( \frac{G_{\alpha}^{\mu}(\mathbf{p}', \mathbf{p}; t_2, t_1) G_{\alpha}^{\mu}(\mathbf{p}, \mathbf{p}'; t_2, t_1)}{G_{\alpha}(\mathbf{p}'; t_2) G_{\alpha}(\mathbf{p}; t_2)} \right)^{1/2}$$

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- To further simplify things, we define the reduced ratio

$$\bar{R}_{\alpha}^{\mu} = \left( \frac{2E_{\alpha}(\mathbf{p})}{E_{\alpha}(\mathbf{p}) + m_{\alpha}} \right)^{1/2} \left( \frac{2E_{\alpha}(\mathbf{p}')}{E_{\alpha}(\mathbf{p}') + m_{\alpha}} \right)^{1/2} R_{\alpha}^{\mu}$$

# Current Matrix Element for Spin-1/2 Baryons

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The current matrix element for spin-1/2 baryons has the form

$$\begin{aligned}
 \langle p', s' | j^\mu | p, s \rangle = & \left( \frac{m_\alpha^2}{E_\alpha(\mathbf{p}) E_\alpha(\mathbf{p}')} \right)^{1/2} \times \\
 & \times \bar{u}(\mathbf{p}') \left( F_1(q^2) \gamma^\mu + i F_2(q^2) \sigma^{\mu\nu} \frac{q^\nu}{2m_\alpha} \right) u(\mathbf{p})
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- The Dirac and Pauli form factors are related to the Sachs form factors through

$$\mathcal{G}_E(q^2) = F_1(q^2) - \frac{q^2}{(2m_\alpha)^2} F_2(q^2)$$

$$\mathcal{G}_M(q^2) = F_1(q^2) + F_2(q^2)$$

# Sachs Form Factors for Spin-1/2 Baryons

- A suitable choice of momentum ( $\mathbf{q} = (q, 0, 0)$ ) and the (implicit) Dirac matrices allows us to directly access the Sachs form factors:
  - for  $\mathcal{G}_E$ : using  $\Gamma_4^\pm$  for both two- and three-point,

$$\mathcal{G}_E^\alpha(q^2) = \bar{R}_\alpha^4(\mathbf{q}, \mathbf{0}; t_2, t_1)$$

- for  $\mathcal{G}_M$ : using  $\Gamma_4^\pm$  for two-point and  $\Gamma_j^\pm$  for three-point,

$$|\varepsilon_{ijk} q^i| \mathcal{G}_M^\alpha(q^2) = (E_\alpha(\mathbf{q}) + m_\alpha) \bar{R}_\alpha^k(\mathbf{q}, \mathbf{0}; t_2, t_1)$$

- where for positive parity states,

$$\Gamma_j^+ = \frac{1}{2} \begin{bmatrix} \sigma_j & 0 \\ 0 & 0 \end{bmatrix} \quad \Gamma_4^+ = \frac{1}{2} \begin{bmatrix} \mathbb{I} & 0 \\ 0 & 0 \end{bmatrix}$$

and for negative parity states,

$$\Gamma_j^- = -\gamma_5 \Gamma_j^+ \gamma_5 = -\frac{1}{2} \begin{bmatrix} 0 & 0 \\ 0 & \sigma_j \end{bmatrix} \quad \Gamma_4^- = -\gamma_5 \Gamma_4^+ \gamma_5 = -\frac{1}{2} \begin{bmatrix} 0 & 0 \\ 0 & \mathbb{I} \end{bmatrix}$$